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**VALVE SEAL WITH PRESSURE RELIEF  
CHANNELS AND EXPANSION VOIDS**

Field of the Invention

5 This invention relates to seals for use with  
valves and especially to seals adapted for use with  
butterfly valves.

Background of the Invention

10 Valves, such as butterfly valves, having valve  
closing members rotatably mounted within a housing to  
effect opening and closing of the valve, are in  
widespread use throughout a wide spectrum of industrial  
and commercial activities including the chemical and  
petroleum industries, the papermaking industry,  
municipal and industrial water service and in  
15 commercial and residential fire suppression systems to  
cite a few examples.

20 Butterfly valves and the like often have a  
flexible, resilient seal mounted within the valve  
housing and surrounding the closure member. The seal  
provides a compliant, deformable interface between the  
valve closing member and the valve housing which  
effects a fluid tight seal when the closure member is

rotated from the open to the closed position to compressively engage the seal.

5 Butterfly valves may be used to control fluids flowing under high pressure and temperature, for example, in excess of 20 atmospheres and above 200°F. Such conditions are very hard on the valve and will cause degradation unless steps are taken to protect the valve components. Especially troubling are conditions, such as large fluid temperature swings, which cause  
10 significant expansion and contraction of the valve seal. Valve seals may have a coefficient of expansion greater than the material comprising the valve housing. Since the seals are usually comprised of incompressible materials and are often captured within a groove or  
15 cavity in the valve housing, the seal must be designed so that it can expand within the cavity under high temperature conditions and yet still be deformable so as to accommodate the additional deformations caused by the compressive engagement of the valve closing member  
20 necessary to form a fluid tight seal.

A further problem associated with seal design concerns fluid which becomes trapped within the cavity between the seal and the valve housing. This usually occurs when the valve operates under high pressure and the seal deforms upon opening due to transient fluid  
25 dynamic forces occasioned by high flow rates within the partially open valve. The deformation of the seal allows the high pressure fluid to seep into the cavity between the seal and the valve housing. This presents  
30 problems when the valve closes, as the fluid in the cavity is placed under tremendous pressure as the seal is compressed radially outwardly within the cavity

against the housing by the closure member. Without the ability to escape from the cavity, the pressurized fluid deforms the seal, forcing a portion of it radially inwardly from the cavity and into the fluid flow path where it may be pinched by the closure member or dragged by the high speed fluid flow and unseated from the cavity.

Clearly, the design of seals for butterfly valves and the like must take the aforementioned difficulties into consideration if such seals are to operate effectively and with a reasonable life under harsh conditions of high pressure and temperature.

#### Summary of the Invention

The invention concerns a seal adapted for use with a valve, such as a butterfly valve, having a rotatable valve closing member mounted within a housing. The seal comprises a flexible, resilient loop, preferably circular in shape and having a perimeter sealing surface and a perimeter mounting surface. The perimeter sealing surface may be positioned on either of the inner or outer perimeters of the loop and faces either inwardly or outwardly from the loop respectively. The perimeter mounting surface is positioned substantially opposite to the perimeter sealing surface and faces in an opposite direction. When the perimeter sealing surface is positioned on the inner perimeter of the loop and faces inwardly, the loop is preferably mounted within a cavity in the housing surrounding the valve closing member. In this configuration, the perimeter mounting surface engages the housing and the perimeter sealing surface extends outwardly from the cavity and engages the valve closing

member to seal the valve. Alternately, when the  
perimeter sealing surface is positioned on the outer  
perimeter of the loop and faces outwardly, the loop is  
preferably mounted within a cavity in the valve closing  
5 member. In this configuration, the perimeter mounting  
surface engages the valve closing member and the  
perimeter sealing surface extends outwardly from the  
cavity and engages the valve housing to seal the valve.

A first axial surface extends between the  
10 perimeter sealing and mounting surfaces and a second  
axial surface, positioned opposite to the first axial  
surface, also extends between the perimeter sealing and  
mounting surfaces. A channel is positioned in one of  
the axial surfaces and extends from the perimeter  
15 mounting surface toward the perimeter sealing surface.  
Alternately the seal may comprise a plurality of  
channels positioned in spaced relation from one another  
on one or both axial surfaces around the loop.

The invention also contemplates a seal, with or  
20 without the channels as described above, and further  
comprising a void space positioned in the perimeter  
mounting surface. The void space may comprise one or  
more grooves extending around the perimeter mounting  
surface, either continuously or intermittently. The  
25 void space may also be defined by a raised surface  
portion extending outwardly from the perimeter mounting  
surface, or by orienting portions of the perimeter  
mounting surface at an angle relative to one another.

The invention also includes a valve for  
30 controlling fluid flow, the valve comprising a housing  
and a valve closing member rotatably mounted within the

housing. The closing member is movable between an open position permitting fluid flow through the valve and a closed position preventing the fluid flow. A flexible, resilient loop, preferably circular in shape, is positioned within the housing surrounding the closing member. The loop has a perimeter sealing surface as described above. Depending upon whether it is positioned on the inner or outer perimeter of the loop, the perimeter sealing surface is engageable with either the valve closing member or the housing for providing a fluid tight seal when the closing member is in the closed position. The loop also has a perimeter mounting surface positioned opposite to the perimeter sealing surface. The perimeter mounting surface is engaged with the other of the closing member and the housing for mounting the loop thereon. A cavity is positioned in either the housing or the valve closing member for receiving the perimeter mounting surface. The perimeter sealing surface extends from the cavity to engage either the valve closing member or the housing to effect a seal. The loop further comprises first and second axial surfaces extending between the perimeter mounting surface and the perimeter sealing surface. The second axial surface is positioned opposite to the first axial surface.

One or more channels may be positioned on one or both of the axial surfaces, the channel extending from the perimeter mounting surface toward the perimeter sealing surface. The seal may further comprise a void space positioned in the perimeter mounting surface as previously described.

Brief Description of the Drawings

Figure 1 is a perspective view of a seal according to the invention;

Figure 2 is a plan view of the seal shown in Figure 1;

Figure 3 is a cross-sectional view taken at line 3-3 of Figure 2;

Figure 4 is a side view of the seal shown in Figure 1;

Figure 5 is a longitudinal sectional view of a valve having a seal according to the invention;

Figure 5A is a detailed sectional view taken within the circle marked 5A in Figure 5 and shown on an enlarged scale;

Figures 6-9 show various cross sectional views depicting embodiments of the seal according to the invention;

Figures 10-14 show various perspective sectional views depicting embodiments of the seal according to the invention;

Figure 15 is a longitudinal sectional view of an alternate embodiment of a valve having a seal according to the invention; and

Figure 15A is a detailed sectional view taken within the circle marked 15A in Figure 15 and shown on an enlarged scale.

#### Detailed Description of Embodiments

5            Figures 1 and 2 show one embodiment of a seal 10 according to the invention. Seal 10 comprises a loop 12, preferably circular in shape, although other shapes such as oval, ellipsoidal, polygonal and racetrack shaped are also feasible. Loop 12 is flexible and  
10           resilient and may comprise elastomeric compounds, natural rubbers as well as thermoplastics such as urethanes. The loop may be formed by compression molding techniques under heat and pressure in a core and cavity type mold or by injection molding techniques  
15           when economically justified by large volume production runs.

            As best shown in Figures 1 and 3, loop 12 has a perimeter sealing surface 14 and a perimeter mounting surface 16 between which extend oppositely disposed  
20           axially facing surfaces 18 and 20. Perimeter sealing surface 14 is shown positioned on the inner perimeter of loop 12 facing inwardly of the loop, and perimeter mounting surface 16 is positioned on the outer perimeter of loop 12 and facing outwardly of the loop.  
25           As described further below, the relative positions of the sealing and mounting surfaces may be reversed in an alternate embodiment having the perimeter sealing surface on the outer perimeter and facing outwardly, and the perimeter mounting surface on the inner  
30           perimeter and facing inwardly (see Figure 15). Preferably, axial surfaces 18 and 20 are angularly oriented relative to the perimeter sealing surface 14

resulting in a portion 22 of the loop 12 comprising the perimeter sealing surface 14 having a wedge-shaped cross sectional profile. The perimeter sealing surface 14 itself preferably has a curved cross sectional profile 24 adapted to sealingly engage a rotatable closure member of a valve, and the wedge shaped portion 22 is preferably asymmetrical for reasons described in detail below.

As shown in Figures 1 and 3, one or more channels 26 are positioned in either or both of the axial surfaces 18 and 20. In the embodiment shown, the channels 26 extend inwardly from the perimeter mounting surface 16 toward the perimeter sealing surface 14. The channels provide fluid communication between the perimeter mounting surface 16 and the perimeter sealing surface 14 when the seal 10 is captured within the housing of a valve (described below) allowing fluid trapped at the perimeter mounting surface 16 to escape back into the fluid flow through the valve.

As shown in Figures 1 and 4, the outwardly facing perimeter mounting surface 16 is adapted to sealingly engage a valve housing (see also Figures 5 and 5A) and has a void space generally indicated at 28. The void space 28 serves to provide a free space into which a portion of the loop 12 may deform when the loop is subjected to compression loads. The loop 12 is formed of incompressible material, which means that any deformation which compresses one portion of the loop must be accommodated by a proportional deformation in another part of the loop. When free boundary surfaces, such as 14, 16, 18 and 20 are restrained, as occurs when the seal 10 is mounted in a valve (described in



detail below), the void space provides a volume into which portions of the seal may deform to accommodate the compression of other portions of the seal.

5       The void space 28 may take a variety of forms or a combination of forms including, for example, one or more grooves 30 extending, either continuously or discontinuously around the perimeter mounting surface 16 (Figures 1 and 10, respectively), a zig-zag pattern of grooves 32 (Figure 11) extending along the perimeter mounting surface 16, multiple grooves 34 oriented 10 transversely to the plane 36 defined by the loop 12 (Figure 12), the multiple grooves being positionable perpendicularly to the reference plane 36 as well (Figure 13), or a plurality of dimples 38 (Figure 14) 15 distributed around the perimeter mounting surface 16.

      The void space 28 may be defined by any practical cross sectional shape, with Figure 6 showing curved profiles 40 and Figure 7 illustrating angled profiles 42 by way of example. As shown in Figure 8, the void 20 space 28 may also be defined by a single raised surface portion 44 extending outward from the perimeter mounting surface 16, the actual void space being positioned on either side of the raised surface portion. Yet another example of void space 28 is shown 25 in Figure 9, wherein perimeter mounting surface 16 is given a sloping profile defined by an apex 46 away from which the surface 16 slopes on either side. The angle of slope 48 may be any acute angle with  $7^\circ$  being a practical slope for various applications. The void 30 space itself may be expressed as a percentage of the volume of the seal and its design is determined by numerous parameters including the size of the seal, the

coefficient of expansion of the seal material, the coefficient of expansion of the valve material and the temperature range which the valve and seal will experience during valve operation.

5           Installation of the seal 10 within a valve 50 is illustrated in Figure 5. The valve 50 comprises a valve housing 52 comprising one or more components in which a rotatable valve closing member 54 is mounted, the valve closing member being rotatable about an axis  
10           56 between an open and a closed position. In the embodiment illustrated, seal 10 is captured within a circumferential cavity 58 positioned within the valve housing 52. The cavity 58 has a shape substantially complementary to that of seal 10 so as to restrain the  
15           seal within the valve housing 52. The perimeter sealing surface 14 extends from the cavity 58 for compressive engagement with the closure member 54 to provide an effective, fluid tight seal when the closure member is rotated transversely to an axis of flow 60  
20           through housing 52.

          As best shown in Figure 5A, the complementary shape of cavity 58 to the seal 10 restrains the perimeter mounting surface 16 and the axial surfaces 18 and 20 from significantly deforming in response to  
25           compressive deformations of the perimeter sealing surface 14 when engaged by the valve closing member 54. However, the void space 28 in the perimeter mounting surface 16 provides the free space which permits the incompressible seal 10 to deform as required to  
30           accommodate compression of the perimeter sealing surface 14. Note that the asymmetry of the perimeter sealing surface 14 allows it to readily accommodate the

valve closing member 54, which approaches the perimeter sealing surface 14 from opposite sides simultaneously (see also Figure 5).

5        If the perimeter mounting and axial surfaces 16,  
18 and 20 were restrained by cavity 58 and void space  
28 were not present then it would not be possible to  
close the valve by compressively deforming the  
perimeter sealing surface 14. Either the valve would  
not close because the perimeter sealing surface 14  
10 would not deform or the portions of the housing  
restraining the seal would yield from the stress  
imparted by the seal. However, the void space 28  
provides free space into which portions of the loop 12  
may deform even when the loop is substantially  
15 restrained within the valve 50. Valve operation is not  
the only source of compression force on the seal  
however. The seal 10 will expand when subjected to  
heat increasing its temperature. This occurs when a  
hot fluid flows through the valve for example. If the  
20 coefficient of expansion of the seal 10 is greater than  
that of the valve housing 52 constraining it, then the  
seal will be subject to compression forces as it tries  
to expand outwardly against the valve housing. Void  
space 28 will allow the seal 10 to accommodate these  
25 compressive forces without damaging the valve or  
buckling and unseating from its restraints within  
cavity 58 as could happen if there were no free space  
provided into which the incompressible material forming  
the seal could deform.

30        During opening of the valve 50, the seal 10 is  
deformed by its contact with the closure member 54 as  
well as the transient fluid dynamic forces occasioned

by high speed fluid flow through the valve due to the Venturi Effect. Such routine deformation of the seal 10 during valve operation often allows fluid 62 to seep into the cavity 58 and accumulate between the perimeter mounting surface 16 and the floor 64 of the cavity 58 (see Figure 5A). This accumulated fluid 62 becomes a problem during valve closing. The assembly shown in Figure 5 comprising the housing 52, closure member 54 and seal 10 may be viewed along axis 60 as a clock face with the axis of rotation 56 of closure member 54 extending between the 6 and 12 o'clock positions. The sectional view of Figure 5 intersects the 3 o'clock and 9 o'clock positions, with Figure 5A showing the 3 o'clock position in detail. As the closure member 54 rotates into the closed position, it engages the seal 10 progressively from both the 12 and 6 o'clock positions along the perimeter sealing surface 14 to the 3 and 9 o'clock positions shown in Figures 5 and 5A. This progressive engagement of seal 10 displaces the seal, forcing fluid 62 around the perimeter mounting surface 16 eventually to the 3 and 9 o'clock positions. If the fluid 62 has no means of escaping from between the perimeter mounting surface 16 and the cavity floor 64, it may apply a point load on the seal radially inwardly which may cause the seal to buckle and unseat from the cavity 58. The seal 10 may extend significantly into the valve housing 52 and be further dragged out of the cavity by the fluid flow (which also increases in speed during valve closing due to the Venturi Effect) and/or be pinched and damaged by the valve closing member 54 as it rotates to close. The seal 10 may prevent full closure of the valve 50, become damaged and require premature replacement.

As shown in Figure 5A, channels 26 in axial surfaces 18 and 20 provide a fluid communication path from the perimeter mounting surface 16 which allows trapped fluid 62 to escape as it is compressed between the perimeter mounting surface 16 and the cavity floor 64 during valve closing. The channels 26 may have a length which extends substantially to the perimeter sealing surface 14 clear of cavity 58 so that the fluid 52 can be directed back into the fluid along the axis of flow 60. Alternately, the channels 26 may be covered by the sidewalls 66 and 68 of cavity 58, the channels 26 being exposed to the fluid flow by the deformation of the perimeter sealing surface 14 and axial surfaces 18 and 20 by the closure member 54 as it rotates into the closed position. As each channel is exposed, it provides a pathway from the perimeter mounting surface 16 back to the flow axis 60 within the housing 52 thereby preventing pressure build-up of the fluid 62 and avoiding buckling and unseating of the seal 10.

Figure 15 illustrates another embodiment 70 of a seal according to the invention mounted within a valve 72. Valve 72 comprises a housing 74 in which a valve closing member 76 is rotatably mounted. Valve closing member 76 rotates about an axis 78 between an open and a closed position, the closed position being shown. Seal 70 comprises a loop 80 positioned within a cavity 82 extending around the outer perimeter of valve closing member 76. As shown in detail in Figure 15A, loop 80 has a perimeter mounting surface 84 positioned on an inner perimeter and facing inwardly of the loop. Perimeter mounting surface 84 sealingly engages a floor 86 of the cavity 82 and has a void space generally

indicated at 88. Void space 88 is shown as circumferential grooves 90 in the perimeter mounting surface 84, but may take any of the forms shown in Figures 6-14 described above. A perimeter sealing surface 92 is positioned on loop 80 opposite the perimeter mounting surface 84. Perimeter sealing surface 92 is substantially as described previously, but is positioned on an outer perimeter of the loop 80 and faces outwardly, extending from the cavity 82 to engage the housing 74 and effect a seal between it and the valve closing member 76 when the valve closing member is in the closed position shown. Axially facing surfaces 94 and 96 extend between the perimeter sealing surface 92 and the perimeter mounting surface 84. These axial surfaces are captured by sidewalls 98 and 100 defining the cavity 82. Preferably the shape of the cavity 82 and the seal 70 are substantially complementary so that the seal seats securely within the cavity.

During closing of the valve closing member 76, the perimeter sealing surface 92 is deformed, and the void space 88 compensates for this deformation by allowing other portions of the seal 70 to deform as described above. Due to seal deformation during valve opening, fluid becomes trapped between the perimeter mounting surface 84 and the floor 86 of the cavity 82. Channels 102 are positioned in one or both of the axial surfaces 94 and 96, the channels extending from the perimeter mounting surface 84 toward the perimeter sealing surface 92 and providing fluid communication allowing the fluid to escape and return to the fluid flow stream through the valve.

Seals according to the invention will permit valves, such as butterfly valves, to operate effectively at extremes of temperature and pressure with less likelihood of premature seal failure or valve failure necessitating frequent servicing and its concomitant costs.

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